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on September 20, 2001.

Respectfully submitted,

By: Thomas L. Evans
Thomas L. Evans, PTO Reg. No. 35,805
BANNER AND WITCOFF, LTD.

Atty. Docket No.
05313.00002

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Rodger D. ERICKSON

Examiner: To Be Assigned

U.S. Pat. App. No.: 09/783,147

Group Art Unit: 2151

Filed: February 13, 2001

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INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Pursuant to his duty of disclosure under 37 C.F.R. §1.56, Applicant brings the following documents to the attention of the Examiner in the above-identified patent application:

- (1) *Internet Engineering Task Force (IETF) Request For Comment (RFC) 1928*,
“SOCKS Protocol V5,” M. Leech et al., March 1996;
- (2) *Internet Engineering Task Force (IETF) Request For Comment (RFC) 1929*,
“Username/Password Authentication For SOCKS V5,” M. Leech, March 1996;
- (3) *Internet Engineering Task Force (IETF) Request For Comment (RFC) 1301*,
“Multicast Transport Protocol,” by S. Armstrong et al., February, 1992;
- (4) “Hashing Concepts And The Java Programming Language,” by Robert Uzgalis, 1996;
- (5) “HashB.sml - Hashing Functions For Lab 2-B,” by John Hamer, June, 2000;
- (6) “MTP: Multicast Transport Protocol,” an article posted on the Network And
Telecommunications Research Group website address
“<http://ntrg.cs.tcd.ie/4ba2/multicast/magnus/index.html>” on January 24, 2001;
- (7) “Nokia VPN Gateways: Patented IP Clustering Technology Provides True Active
Session Failover And Dynamic Load Balancing”
- (8) “TIB/Rendevous C Reference,” Tibco Software Inc., December, 1999;
- (9) “TIB/Rendevous Java Reference,” Tibco Software Inc., December, 1999;
- (10) “TIB/Rendevous Administration,” Tibco Software Inc., December, 1999;
- (11) “TIB/Rendevous Installation,” Tibco Software Inc., December, 1999;
- (12) “TIB/Rendevous Concepts,” Tibco Software Inc., December, 1999;
- (13) U.S. Patent No. 5,822,531 to Gorczyca et al., entitled “Method And System For
Dynamically Reconfiguring A Cluster Of Computer Systems,” issued on October 13, 1998;

- (14) U.S. Patent No. 6,006,259 to Adelman et al. entitled "Method And Apparatus For An Internet Protocol (IP) Network Clustering System," issued on December 21, 1999;
- (15) U.S. Patent No. 6,038,677 to Lawlor et al., entitled "Automatic Resource Group Formation And Maintenance In A High Availability Cluster Configuration," issued on March 14, 2000;
- (16) U.S. Patent No. 6,078,957 to Adelman et al., entitled "Method And Apparatus For A TCP/IP Load Balancing And Failover Process In An Internet Protocol (IP) Network Clustering System," issued on June 20, 2000;
- (17) U.S. Patent No. 6,094,485 to Weinstein et al., entitled "SSL Set-Up," issued on July 25, 2000; and
- (18) U.S. Patent No. 6,192,417 to Block et al., entitled "Multicast Cluster Servicer For Communicating Amongst A Plurality Of Nodes Without A Dedicated Local Area Network," issued on February 20, 2001.

A PTO-1449 form is included herewith listing these documents, and a copy of each of these documents is attached. All of the documents are in English, so Applicants respectfully urge that further comment is unnecessary in accordance with 37 C.F.R. 1.98(a)(3).

A first Official Action has not yet issued for this application. Therefore, Applicant submits that no fees are required for the Examiner's consideration of the documents listed in this Information Disclosure Statement. It is respectfully requested that the documents listed above be

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Atty. Docket No.: 05313.00002

considered by the Examiner in the above-identified patent application and that they be made officially of record therein. It is further requested that a listing of the same appear on the face of any patent that may issue from this application.

Respectfully submitted,

BANNER & WITCOFF, LTD.

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September 20, 2001